

FORM PTO-1390
(REV. 1-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

G-82

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/889659

INTERNATIONAL APPLICATION NO.

PCT/FR99/03221

INTERNATIONAL FILING DATE

DEC. 21, 1999

PRIORITY DATE CLAIMED

22 Jan 1999

TITLE OF INVENTION

MAINTENANCE OF AN ANTI-COLLISION CHANNEL IN AN ELECTRONIC
IDENTIFICATION SYSTEM

APPLICANT(S) FOR DO/EO/US

MARC ENRICO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. *To Follow*
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: *PCT 616110 - 1 page*

U.S. APPLICATION NO. (if known) 09/889609

INTERNATIONAL APPLICATION NO.

PCT/FR 99/03221

ATTORNEY'S DOCKET NUMBER

6-82

17. ☐ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)

nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO

and International Search Report not prepared by the EPO or JPO \$1070.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$720.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$98.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY**

\$ 860

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	- 20 =		x \$22.00	\$

Independent claims	- 3 =		x \$82.00	\$
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$
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TOTAL OF ABOVE CALCULATIONS =

\$

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

+

\$

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

40

TOTAL FEES ENCLOSED =

\$

900

Amount to be refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$ 8146 900.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2178. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Roland Plottel
Rockefeller Center Stn.
P.O. Box 293
New York, N.Y. 10185-0293

Roland Plottel
SIGNATURE

ROLAND PLOTTEL
NAME

26707
REGISTRATION NUMBER

212 489-7073

10/01/9

Case Docket No.

G-82

"Express Mail" mailing label number EL 446865898US Date of Deposit 7/17/01
 I hereby certify that this application is being deposited with the United States Postal Service
 "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and
 is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20230.

Roland Plottel
 Roland Plottel
 ENA GREEN KLANZ

Transmitted herewith for filing is the patent application or

Inventor: ENRICO, MARC

For: MAINTENANCE OF AN ANTICOLLISION CHANNEL IN AN
 ELECTRONIC IDENTIFICATION SYSTEM

Enclosed are:

☒ 4 sheets of drawing. 6 Figs

☒ An assignment of the invention to GEMPLUS

- ☒ A certified copy of a French application No 99/06721
 the priority of which is hereby claimed, pursuant to the International Convention for
 the protection of Industrial Property (613 OG 23, 53 Stat. 1748, MPEP 201.13).
☐ A verified statement to establish small entity status under 37 CFR 1.9
 and 37 CFR 1.27.
☒ Pre-Amend. Please enter before calculating File Fee

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$	OR		\$
TOTAL CLAIMS	<u>10</u> -20=	*	X 11	\$	OR	X 22	\$
INDEP CLAIMS	<u>2</u> -3=	*	X 39	\$	OR	X 78	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ 125	\$	OR	+ 250	\$
			TOTAL	\$	OR	TOTAL	\$

* If the difference in Col. 1 is less
 than zero, enter "0" in Col. 2

\$40.00 Assignment Recording Fee: 40.00

- ☐ Please charge my Deposit Account No. 16-2128 in the amount of
 \$. A duplicate copy of this sheet is enclosed.
☒ A check in the amount of \$ 900 to cover the filing fees and assignment recording
 fees is enclosed.
☒ The Commissioner is hereby authorized to charge payment of the following
 fees associated with this communication or credit any overpayment to
 Deposit Account No. 16-2128. A duplicate copy of this sheet is
 enclosed.
☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.
☒ The Commissioner is hereby authorized to charge payment of the following
 fees during the pendency of this application or credit any overpayment to
 Deposit Account No. 16-2128. A duplicate copy of this sheet is
 attached.
☒ Any patent application processing fees under 37 CFR 1.17.
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the
 Notice of Allowance, pursuant to 37 CFR 1.311(b).
☒ Any filing fees under 37 CFR 1.16

Address all future correspondence and telephone calls to applicant's
 attorney whose address and telephone is listed below:

212 489-7073

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Roland Plottel
 ROLAND PLOTTEL PT No. 20707

Prior art Statement form 1449

References Total